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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO
10/653,507	09/02/2003	Gerald N. Coleman	02-470	3889
719 7	590 07/27/2004		EXAMINER	
CATERPILLAR INC.			SOLIS, ERICK R	
100 N.E. ADA PATENT DEP			ART UNIT	PAPER NUMBER
PEORIA, IL			3747	
			DATE MAILED: 07/27/2004	4

Please find below and/or attached an Office communication concerning this application or proceeding.

			101
	Application No.	Applicant(s)	1700
	10/653,507	COLEMAN ET AL.	V
Office Action Summary	Examiner	Art Unit	
	Erick R Solis	3747	
The MAILING DATE of this communication app Period for Reply	pears on the cover sheet w	ith the correspondence address	;
A SHORTENED STATUTORY PERIOD FOR REPL' THE MAILING DATE OF THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1.1 after SIX (6) MONTHS from the mailing date of this communication. - If the period for reply specified above, is less than thirty (30) days, a repl- If NO period for reply is specified above, the maximum statutory period of Failure to reply within the set or extended period for reply will, by statute Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	36(a). In no event, however, may a re y within the statutory minimum of thin will apply and will expire SIX (6) MON to cause the application to become Al	reply be timely filed ty (30) days will be considered timely. NTHS from the mailing date of this communi BANDONED (35 U.S.C. § 133).	cation.
Status			
1) Responsive to communication(s) filed on <u>02 S</u>	eptember 2003.		
2a) ☐ This action is FINAL . 2b) ☑ This	action is non-final.		
3) Since this application is in condition for allowar	•	·	ts is
closed in accordance with the practice under E	Ex parte Quayle, 1935 C.D	v. 11, 453 O.G. 213.	
Disposition of Claims			
4)⊠ Claim(s) <u>1-38</u> is/are pending in the application.			
4a) Of the above claim(s) is/are withdray			
5) Claim(s) is/are allowed.			
6)⊠ Claim(s) <u>1-38</u> is/are rejected.			
7) Claim(s) is/are objected to.			
8) Claim(s) are subject to restriction and/o	r election requirement.		
Application Papers			
9) The specification is objected to by the Examine	r.		
10)⊠ The drawing(s) filed on <u>02 September 2003</u> is/a	are: a)⊠ accepted or b)□	objected to by the Examiner.	
Applicant may not request that any objection to the	drawing(s) be held in abeyar	nce. See 37 CFR 1.85(a).	
Replacement drawing sheet(s) including the correct	ion is required if the drawing	(s) is objected to. See 37 CFR 1.1	21(d).
11)☐ The oath or declaration is objected to by the Ex	aminer. Note the attached	d Office Action or form PTO-15	2.
Priority under 35 U.S.C. § 119			
12) Acknowledgment is made of a claim for foreign a) All b) Some * c) None of:		; 119(a)-(d) or (f).	
1. Certified copies of the priority documents		nnlication N-	
2. Certified copies of the priority documents3. Copies of the certified copies of the priority		• • • • • • • • • • • • • • • • • • • •	,
application from the International Bureau	·	received in this National Stage	,
* See the attached detailed Office action for a list		received.	
COO THE ALLASTICA ACTAINED CHIECE ACTION TO A HEL	o. The continue copies flot	TOOOIYOU.	
•••			
Attachment(s) 1) X Notice of References Cited (PTO-892)	م ينسيد م	Nummons (DTO 442)	
1) \(\sum \) Notice of References Cited (P1O-892) 2) \(\sum \) Notice of Draftsperson's Patent Drawing Review (PTO-948)		Summary (PTO-413) s)/Mail Date	
3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)	5) D Notice of Ir	nformal Patent Application (PTO-152)	
Paper No(s)/Mail Date <u>09/02/2003</u> .	6) 🔲 Other:		

DETAILED ACTION

Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- (e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.
- 1. Claims 1-5,11-16,18,19,21,23-29,31 and 33-37 are rejected under 35
 U.S.C. 102(e) as being anticipated by zur Loye et al (US Patent 6561157). This reference teaches operating an engine which may operate in a PCCI mode wherein fuel is evenly distributed throughout the combustion chamber. An oxidant (air) is introduced into the combustion chamber as well as a diluent (EGR). Inherently the diluent (EGR) will have the effect of slowing down the combustion which will result in a longer combustion duration and lower combustion pressures.
- 2. Claims 1-5,11-16,18-21,23-29,31,33-35 are rejected under 35 U.S.C. 102(e) as being anticipated by Walter et al (US Patent 6701886). This reference teaches an engine which operates in an HCCI mode wherein fuel is evenly distributed throughout the combustion chamber. An oxidant (air) is introduced into the combustion chamber as well as a diluent (EGR). Inherently the diluent (EGR) will have the effect of slowing

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down the combustion which will result in a longer combustion duration and lower combustion pressures.

- 3. Claim 30 is rejected under 35 U.S.C. 102(b) as being anticipated by Ouellette et al (US Patent 5996558).
- 4. Claim 30 is rejected under 35 U.S.C. 102(b) as being anticipated by Hapeman (US Patent 5458292).
- 5. Claim 30 is rejected under 35 U.S.C. 102(b) as being anticipated by Shafer et al (US Patent 6725838).

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to

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consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

- 6. Claims 17,22 and 38 are rejected under 35 U.S.C. 103(a) as being unpatentable over zur Loye in view of Chanda et al. zur Loye applies as above, but does not teach the technique of separating oxygen and nitrogen from air to aid in reducing pollutants. Chanda et al teach the use of supplying either and/or both of nitrogen into the combustion chamber to reduce such pollutants (see col. 7, lines 5-21). It would have been obvious to one of ordinary skill in the art to have supplied oxygen and /or nitrogen into the combustion chamber of zur Loye, as taught by Chanda et al, since this would have aided in further reducing pollutants from the engine.
- 7. Claims 6-10, and 32 are rejected under 35 U.S.C. 103(a) as being unpatentable over zur Loye in view of Hapeman. zur Loye applies as above, but does not teach using an injector with a plurality of holes for injecting fuel streams at different spray angles. Hapeman teaches a two stage injector which can vary the angle of fuel spray depending on engine load. It would have been obvious to have used a fuel injector in zur Loye's engine, as taught by Hapeman because this would have provided for better combustion by allowing for better directional control of the fuel spray dependent on engine parameters.
- 8. Claims 6-10, and 32 are rejected under 35 U.S.C. 103(a) as being unpatentable over zur Loye in view of Shafer et al. zur Loye applies as above, but does not teach using an injector with a plurality of holes for injecting fuel streams at different spray angles. Shafer et al teach a an HCCl engine with an injector which can vary the angle

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of fuel spray depending on type of combustion mode. It would have been obvious to have used a fuel injector in zur Loye's engine, as taught by Hapeman because this would have provided for better combustion by allowing for better directional control of the fuel spray dependent on engine combustion mode.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Erick R. Solis whose telephone number is (703) 308-2651. The examiner can normally be reached on Monday-Thursday.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 308-0861.

Erick R. Solis Primary Examiner Art Unit 3747

ers July 23, 2004